International Business Compliance

Course Syllabus as of 24 February 2020 (subject to further revision)

Winter 2020

Mondays and Wednesdays 3:30-5:20

Room 133

William H. Gates Hall

Instructors:

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Office Hours: By appointment

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Course Description

This course addresses the critical role of legal compliance in conducting international business.

Each week will combine one day of traditional lecture and discussion and one day of more interactive debate, negotiation, or presentation. Topics will include:

- the history and development of compliance,
- the relationship between management objectives and,
- specific areas of compliance such as anti-corruption law, international tax and information security, and
- particular challenges related to compliance for companies operating in global markets.

Previous enrollment in business organizations or other international commercial law or transactions courses would be beneficial but is not a strict prerequisite.

Required Reading Materials

Supplemental materials provided on Canvas are also required reading materials

**Method of Evaluation**

This is a graded course. The final grade in the course will be based on the total points received on the following:

- **Exam (8 hour, take-home exam)** 60 points
- **Canvas Homework Assignments** 20 points
- **Class Participation** 20 points

**Total Possible Points =** 100 points

Canvas homework assignments will consist of 4 practice exam questions each worth 5 points for a total of 20 points.

Students should come to class prepared to discuss the reading assignments for that class. For classes with both textbook and supplemental assignments, both are required readings.

Class participation credit will be given for contributions to class discussions that demonstrate familiarity with reading assignments.

Class participation may also include collaboration with other students on in-class team problem solving activities.

**Learning Objectives**

At the end of this course students should be able to:

- Describe key elements of an effective global compliance program;
- Relate the pursuit of business goals to risk management;
- Assess the degree of integration of corporate culture and compliance;
- Contrast internal and external compliance enforcement functions;
- Delineate different compliance roles such as lawyers and corporate officers;
- Describe and apply basic compliance doctrines related to tax, data security and anti-corruption law;
- Contrast first and third party compliance risks; and
- Analyze the impact of efforts to harmonize compliance doctrines across national borders.
Access and Accommodations

Your experience in this class is important to us, and it is the policy and practice of the University of Washington to create inclusive and accessible learning environments consistent with federal and state law. If you experience barriers based on disability, please seek a meeting with DRS to discuss and address them. If you have already established accommodations with DRS, please communicate your approved accommodations to your instructor at your earliest convenience so we can discuss your needs in this course.

Disability Resources for Students (DRS) offers resources and coordinates reasonable accommodations for students with disabilities. Reasonable accommodations are established through an interactive process between you, your instructor(s) and DRS. If you have not yet established services through DRS, but have a temporary or permanent disability that requires accommodations (this can include but not limited to; mental health, attention-related, learning, vision, hearing, physical or health impacts), you are welcome to contact DRS at 206-543-8924 or uwdr@uw.edu or disability.uw.edu.

Religious Accommodations

Washington state law requires that UW develop a policy for accommodation of student absences or significant hardship due to reasons of faith or conscience, or for organized religious activities. The UW’s policy, including more information about how to request an accommodation, is available at Religious Accommodations Policy https://registrar.washington.edu/staffandfaculty/religious-accommodations-policy/

Accommodations must be requested within the first two weeks of this course using the Religious Accommodations Request form https://registrar.washington.edu/students/religious-accommodations-request/